



# Open-cluster density profiles derived using a kernel estimator

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## ABSTRACT

Surface and spatial radial density profiles in open clusters are derived using a kernel estimator method. Formulae are obtained for the contribution of every star into the spatial density profile. The evaluation of spatial density profiles is tested against open-cluster models from  $N$ -body experiments with  $N = 500$ . Surface density profiles are derived for seven open clusters (NGC 1502, 1960, 2287, 2516, 2682, 6819 and 6939) using Two-Micron All-Sky Survey data and for different limiting magnitudes. The selection of an optimal kernel half-width is discussed. It is shown that open-cluster radius estimates hardly depend on the kernel half-width. Hints of stellar mass segregation and structural features indicating cluster non-stationarity in the regular force field are found. A comparison with other investigations shows that the data on open-cluster sizes are often underestimated. The existence of an extended corona around the open cluster NGC 6939 was confirmed. A combined function composed of the King density profile for the cluster core and the uniform sphere for the cluster corona is shown to be a better approximation of the surface radial density profile. The King function alone does not reproduce surface density profiles of sample clusters properly. The number of stars, the cluster masses and the tidal radii in the Galactic gravitational field for the sample clusters are estimated. It is shown that NGC 6819 and 6939 are extended beyond their tidal surfaces.

**Key words:** open clusters and associations: general.

## 1 INTRODUCTION

Surface density profiles are traditional tools in investigations of the structure of stellar clusters. Surface density profiles have been used for cluster size determination, for example, by Sung, Sana & Bessell (2013), Santos-Silva & Gregorio-Hetem (2012) and Camargo, Bonatto & Bica (2012). It should be noted that usually surface density profiles are plotted as histograms of star counts, and the stochasticity of histograms has prevented a reliable determination of cluster size. Methods have been presented to reduce both stochasticity and asymmetries. Kholopov and Artyukhina have performed star counts in a series of overlapping rings of different widths and in overlapping sectors (see, e.g. Artyukhina & Kholopov 1962; Kholopov 1963). Djorgovski (1988) proposed an averaging of star counts across several angular bins. Apart from stochasticity, the limited field of view is often the reason for the unreliable determination of cluster size.

Cluster density profiles can be compared with different dynamic models in order to reveal the results of different dynamic processes. For example, gravothermal catastrophe in globular clusters becomes apparent by means of post-collapse density profiles (Sosin & King 1995, 1997; Miocchi et al. 2013). Density profiles in the outer cluster parts reveal cluster disruption processes in the outer tidal field (e.g. Carraro, Zinn & Moni Bidin 2007; Küpper et al. 2010b; Carballo-

Bello et al. 2012). The presence of mass segregation shows an efficiency of stellar encounters or – in the case of extremely young clusters – preferential birth places of stars with different masses or special features in the cluster formation process (e.g. Vesperini, McMillan & Portegies Zwart 2009; Gennaro et al. 2011; Goldman et al. 2013; Pang et al. 2013). Irregularities in the density profiles indicate the non-stationarity of a cluster in the regular field (Danilov & Putkov 2012).

The extended sparse outer regions of open star clusters (i.e. cluster coronae) are of special interest. Danilov, Putkov & Seleznev (2014) have presented a modern review of arguments in favour of the existence of cluster coronae. The cluster coronae can extend over the open-cluster tidal surface. Stars leave the cluster through the tidal surface in the vicinity of Lagrange points (see, e.g. Küpper, Macleod & Heggie 2008; Küpper et al. 2010a). Some of these stars go fast at large distances from the cluster and form the cluster tidal tails. Others, before moving to tidal tails, can live in the close cluster vicinity (up to distances of four tidal radii of the cluster in the Galactic gravitational field) for a relatively long time, comparable with the mean lifetime of the cluster (Danilov et al. 2014). This is the cluster corona. The formation of coronae in open clusters and in their numerical models can be explained by the formation of unstable periodic orbits and the large number of retrograde unclosed trajectories in the vicinity of such orbits (Danilov et al. 2014).

The detection of the open-cluster coronae is difficult because of the low stellar density in the coronae, and because of the fluctuations

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of the stellar density of the background. The parameters of the open-cluster coronae can be determined more firmly and reliably after identifying probable cluster members, taking into account the data on the stellar proper motions (see, e.g. Artyukhina 1970). Danilov, Matkin & Pylskaya (1985) proposed the method of star counts (referred to hereafter as the DMP method), based on the use of the function  $N(r)$ , the number of stars in the circle of radius  $r$ . This method was used by Danilov & Seleznev (1994) to study the structure of 103 open star clusters. The method involves the comparison of the cluster field with several fields of the cluster neighbourhood. This requires the study of a very large region around the cluster (with a radius of up to six cluster radii). The use of this method is restricted by large-scale fluctuations of the stellar background density in the cluster vicinity. The goal of the present paper is to use the surface density function  $F(r)$ , derived with the kernel estimator, in order to search for the coronae of the open clusters.

The surface density  $F(r)$  is the number of stars per unit area of the celestial sphere,

$$dN = 2\pi r F(r) dr, \quad N = 2\pi \int_0^R F(r) r dr, \quad (1)$$

where  $r$  is the current distance from the cluster centre and  $R$  is the radius of the circle (sphere) around the cluster centre. The spatial density  $f(r)$  is the number of stars per unit volume of the coordinate space,

$$dN = 4\pi r^2 f(r) dr, \quad N = 4\pi \int_0^R f(r) r^2 dr. \quad (2)$$

The use of radial density profiles assumes the hypothesis of a spherical symmetry. Both the surface and spatial stellar densities are connected with the corresponding probability densities:

$$\varphi(r) = \frac{2\pi r}{N} F(r), \quad \int_0^R \varphi(r) dr = 1; \quad (3)$$

$$\psi(r) = \frac{4\pi r^2}{N} f(r), \quad \int_0^R \psi(r) dr = 1. \quad (4)$$

Consequently, methods of probability density evaluation can be used to obtain the surface and spatial densities. Such methods have been considered by Silverman (1986). The kernel estimator stands out among these because of its intuitive clarity and relatively simple realization. The essence of the kernel estimator method is the following. Every data point in the sample is replaced by some function (kernel) normalized by 1. The result of the probability density is the sum of all kernels divided by the number of sample points  $N$ . Estimates of the surface or spatial density are obtained as the sum of kernels, not divided by  $N$ . It is very important that the density estimate inherits the properties of the kernel function (e.g. continuity and differentiability in the case of kernels used in this paper).

The kernel estimator was used in previous research to estimate the luminosity function and to derive and analyse surface density maps in star clusters (Seleznev 1998; Seleznev et al. 2000; Prisinzano et al. 2001; Pancino et al. 2003; Kirsanova et al. 2008; Seleznev et al. 2010; Carraro & Seleznev 2012).

Merritt & Tremblay (1994) used the kernel estimator and the maximum penalized likelihood estimator to estimate density profiles. They showed that the one-dimensional kernel estimator was not appropriate for a surface density profile construction, and a two-dimensional method was needed. Merritt & Tremblay (1994) obtained formulae for a kernel function for the case of the surface radial density profile and obtained estimates for spatial den-

sity solving an Abel equation. They investigated the efficiency of both methods for three important distributions (Plummer, de Vaucouleurs, Michie–King) and showed that the use of an ‘optimal’ kernel half-width, determined with the minimization of the integrated mean-square error, led to an unsatisfactory result. Merritt & Tremblay (1994) proposed an empirical selection of kernel half-widths (i.e. obtaining a series of profile estimates and selecting the best version); that is, ‘simply looking at plots produced using several different values of the smoothing parameter, and accepting the one that is as smooth as possible without being obviously biased – that is, the smoothest curve that closely follows the mean trend defined by curves computed with much smaller smoothing parameter.’ They used both kernel and maximum penalized likelihood methods to derive surface density profiles for the Coma cluster of galaxies and for the M15 globular cluster.

In the present work, a kernel estimator is used to construct surface radial density profiles for seven open clusters, and to construct spatial radial density profiles for the numerical models of the open-cluster coronae obtained by  $N$ -body experiments with  $N = 500$ . The paper is organized as follows. Section 2 is devoted to the development of formulae for surface and spatial density profiles. The spatial density profiles of the coronae of the  $N$ -body open-cluster models are derived in Section 3. Section 4 contains a description of the derivation of the surface density radial profiles for seven open clusters, and a discussion of the profiles. The estimation of the cluster sizes is discussed in Section 5, and the results of the present paper are compared with the data from the literature. Section 6 describes an approximation of the cluster radial surface density profiles using the King profile, with and without considering the contribution from the cluster corona. The cluster mass and the tidal radii estimates are obtained in Section 7. Conclusions are given in Section 8.

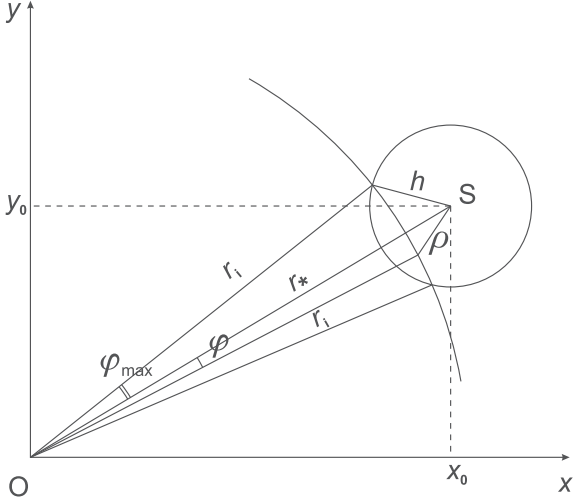
## 2 KERNEL ESTIMATOR FOR SURFACE AND SPATIAL RADIAL DENSITY PROFILES

To understand the derivation of the formulae better, let us begin with the case of the surface density profile. Consider the plane  $(x, y)$  tangent to the celestial sphere at the point of cluster centre  $O$  (see Fig. 1). Point  $S$  is the projection of a star to the tangent plane, the circle with centre  $S$  is the projection of the kernel with half-width  $h$  and  $r_*$  is the distance of the star from the cluster centre in the projection. The contribution of this star to the surface density profile estimate at the distance  $r_i$  from the cluster centre is evaluated. The kernel  $K_2$  (Silverman 1986, see equation 4.5) is used for the calculation of the surface density. This kernel corresponds to the contribution to the surface density as

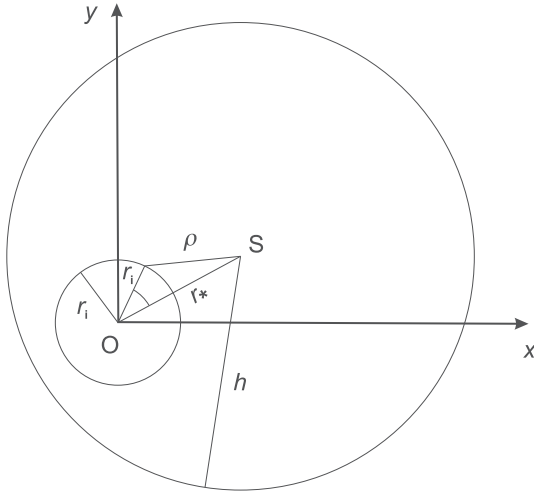
$$\Delta F = \begin{cases} \frac{3}{\pi h^2} \left(1 - \frac{\rho^2}{h^2}\right)^2 & \text{with } \rho < h, \\ 0 & \text{with } \rho \geq h. \end{cases} \quad (5)$$

This kernel function (often called the ‘quartic’ kernel) has an advantage in the computational aspect. Namely, this function has high smoothness properties in contrast to the Epanechnikov kernel, which allow us to use a reasonably coarse grid for contouring without introducing appreciable errors (Silverman 1986). This is important especially when plotting two-dimensional maps of the surface density. Another kernel – the Gaussian kernel – is excellent in differentiability, but it requires a much greater amount of computations (Merritt & Tremblay 1994).

In order to obtain the contribution of star  $S$  to the surface density profile at the distance  $r_i$  from the cluster centre, we need to integrate this function by  $\varphi$  over the arc of the circle with radius  $r_i$  from  $-\varphi_{\max}$



**Figure 1.** The plane  $(x, y)$  is the tangent plane to the celestial sphere at the point of the cluster centre  $O$ . Point  $S$  is the projection of a star to the tangent plane, the circle with centre  $S$  is the projection of the kernel with half-width  $h$  and  $r_*$  is the distance of the star from the cluster centre in the projection. The case  $|r_* - r_i| < h$ .



**Figure 2.** Same as in Fig. 1, but for the case  $r_i < h - r_*$ .

to  $\varphi_{\max}$  (which is the case when  $|r_* - r_i| < h$ ; see Fig. 1). The result is

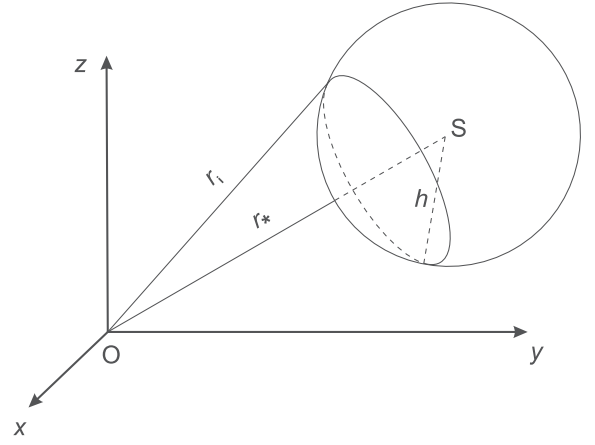
$$\begin{aligned} \Delta F(r_i) = & \frac{3}{\pi^2 h^2} \left( 1 - \frac{r_i^2 + r_*^2}{h^2} \right)^2 \varphi_{\max} + \frac{6r_i^2 r_*^2}{\pi^2 h^6} \varphi_{\max} \\ & + \frac{12r_i r_*}{\pi^2 h^4} \left( 1 - \frac{r_i^2 + r_*^2}{h^2} \right) \sin \varphi_{\max} + \frac{3r_i^2 r_*^2}{\pi^2 h^6} \sin 2\varphi_{\max}, \end{aligned} \quad (6)$$

where

$$\varphi_{\max} = \cos^{-1} \left( \frac{r_i^2 + r_*^2 - h^2}{2r_i r_*} \right).$$

Another situation is possible: when the circle of radius  $r_i$  lies inside the circle of the kernel ( $r_i < h - r_*$ ; see Fig. 2). In this case, we need to integrate equation (5) by  $\varphi$  from 0 to  $2\pi$ . The result is

$$\Delta F(r_i) = \frac{3}{\pi h^2} \left( 1 - \frac{r_i^2 + r_*^2}{h^2} \right)^2 + \frac{6r_i^2 r_*^2}{\pi h^6}. \quad (7)$$



**Figure 3.** Star  $S$  at distance  $r_*$  from cluster centre  $O$  and the three-dimensional kernel with half-width  $h$ : the case of  $|r_* - r_i| < h$ .

It is easy to show that equations (6) and (7) coincide with equation (28b) from Merritt & Tremblay (1994).

The same approach is used for the determination of the contribution of the star into the spatial density when the spatial coordinates  $(x, y, z)$  of the star are known. The multivariate Epanechnikov kernel (Silverman 1986, see equation 4.4) for three dimensions is used for the case of spatial density. It corresponds to the contribution to spatial density as

$$\Delta f = \begin{cases} \frac{15}{8\pi h^3} \left( 1 - \frac{\rho^2}{h^2} \right) & \text{with } \rho < h, \\ 0 & \text{with } \rho \geq h. \end{cases} \quad (8)$$

The Epanechnikov kernel in the case of three dimensions was also selected because of computational considerations. It gives simpler equations for the density profile in contrast to the quartic kernel, and requires fewer computations in contrast to the Gaussian kernel. In addition, there is very little difference between the Epanechnikov, quartic and Gaussian kernels in many aspects (Silverman 1986; Merritt & Tremblay 1994).

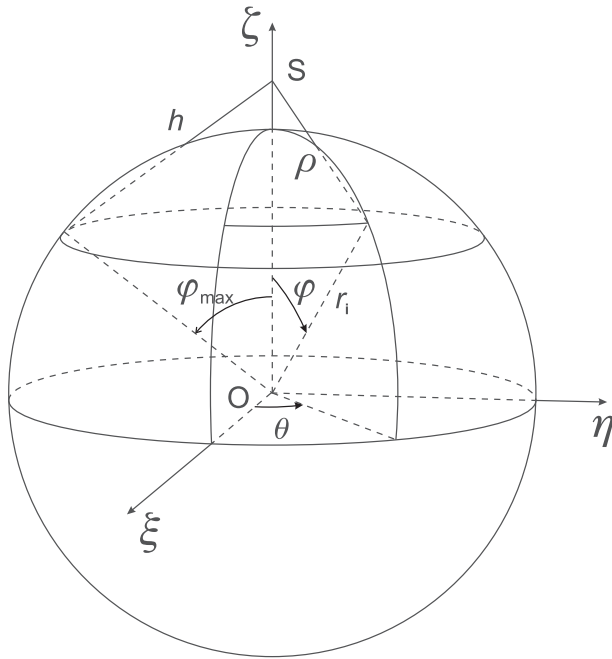
Fig. 3 shows star  $S$  at distance  $r_*$  from cluster centre  $O$  and the three-dimensional kernel with the half-width  $h$ . The contribution of this star to the spatial density profile at distance  $r_i$  from the cluster centre is calculated. Fig. 4 shows the sphere with radius  $r_i$  around the cluster centre. The coordinate system in Fig. 4 was transformed into  $(\xi, \eta, \zeta)$  with axis  $\zeta$  in the direction from the cluster centre to star  $S$ . In order to obtain the required contribution, it is necessary to integrate the function in equation (8) over the segment of this sphere by  $\theta$  from 0 to  $2\pi$  and by  $\varphi$  from 0 to  $\varphi_{\max}$  in the case shown in Fig. 4 ( $|r_* - r_i| < h$ ) or from 0 to  $\pi$  in the case when the sphere of radius  $r_i$  lies inside the sphere of kernel ( $r_i < h - r_*$ ). The result is the following. For the case  $|r_* - r_i| < h$ , we have

$$\begin{aligned} \Delta f(r_i) = & \frac{15}{16\pi h^3} \left( 1 - \frac{r_i^2 + r_*^2}{h^2} \right) (1 - \cos \varphi_{\max}) \\ & + \frac{15r_i r_*}{32\pi h^5} (1 - \cos 2\varphi_{\max}), \end{aligned} \quad (9)$$

where  $\varphi_{\max}$  is defined as in equation (6). For the case  $r_i < h - r_*$ , we have

$$\Delta f(r_i) = \frac{15}{8\pi h^3} \left( 1 - \frac{r_i^2 + r_*^2}{h^2} \right). \quad (10)$$

The algorithm used to estimate both spatial and surface density is simple. One must go over the sample of stars, determine at what

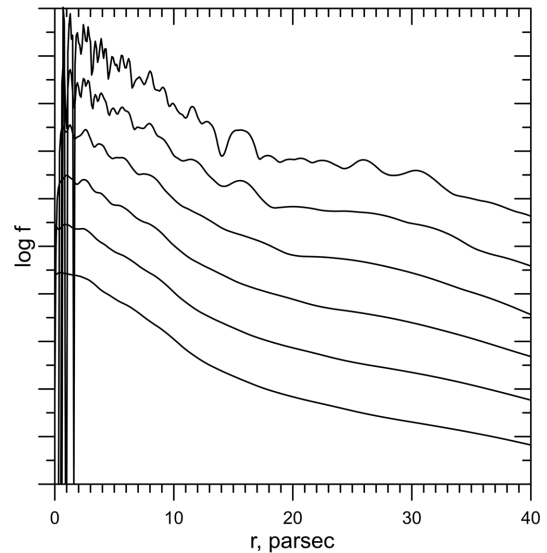


**Figure 4.** The sphere with radius  $r_i$  around cluster centre O: the case  $|r_* - r_i| < h$ .

number  $i$  (distances  $r_i$ ) every star contributes to the density and sum up these contributions in accordance with the formulae listed above into array cells with number  $i$ . Both fixed and adaptive kernel estimator algorithms were examined in the present paper (Silverman 1986; Merritt & Tremblay 1994). The adaptive kernel algorithm consists of the idea of using kernels with different half-widths depending on the density value. The adaptive kernel estimator gives better estimates in the wings of the distribution (Silverman 1986). This algorithm has two steps. At the first step, the pilot density estimate is obtained with the fixed kernel algorithm; this pilot estimate is used at the second step to determine the kernel half-width through factors  $\lambda$ . The adaptive kernel algorithm is described in detail in Silverman (1986) and Merritt & Tremblay (1994). In the present paper, the same kernel function is used at both steps.

### 3 SPATIAL DENSITY PROFILES OF CORONAE OF $N$ -BODY OPEN CLUSTER MODELS

At present, the information about the spatial coordinates of stars in star clusters is not available. In order to derive a spatial radial density profile, it is necessary to use methods such as the Zeipel or Plummer methods, or to solve the Abel equation numerically. All these methods require us to make assumptions about the symmetry type. However, this situation will change when *Gaia* data are available. These data will allow us to study cluster spatial structures directly, at least for the nearest star clusters. Indeed, parallaxes from *Gaia* data will have standard errors 5–14  $\mu$ as for stars in the magnitude range of  $V \in (6, 12)$  mag and 9–26  $\mu$ as for stars with  $V = 15$  mag (Walton et al. 2012). For the Pleiades cluster with a distance of 120.2 pc (van Leeuwen 2009), it gives a distance error in the limits of 0.2 pc for bright stars, and of 0.4 pc for stars with  $V = 15$  mag. With the linear radius of Pleiades of about 10 pc (van Leeuwen 1980), this accuracy is sufficient for the study of the spatial structure of this cluster. The Pleiades have about a hundred stars in the magnitude range of  $V \in (6, 12)$  mag (Belikov et al. 1998).



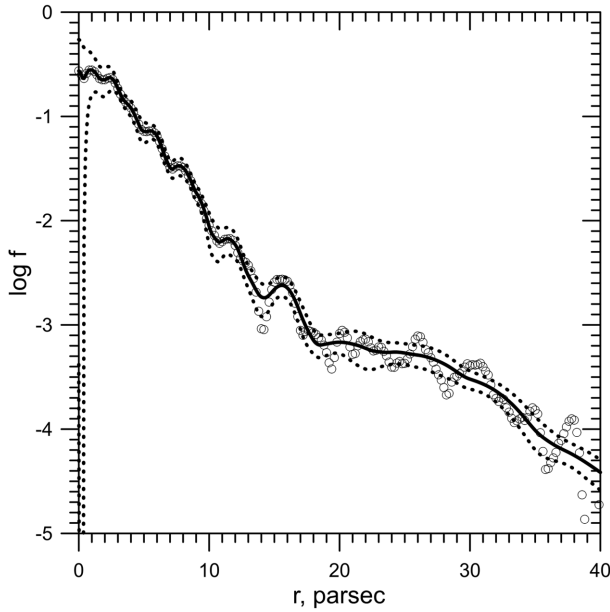
**Figure 5.** Spatial radial density profiles for corona of model 1 from Danilov & Dorogavtseva (2008), the time-point of about 150 Myr. The kernel half-widths are 0.5, 1, 2, 3, 4 and 5 pc from top to bottom. The vertical axis shows the logarithm of the spatial density (the density units are  $\text{pc}^{-3}$ ). The major ticks at the vertical axis differ by 1 dex, and plots are shifted from each other by the value of 1 dex. The horizontal axis shows the distance from the cluster centre in parsec.

In the present paper, the use of a kernel estimator for the construction of spatial density profiles is illustrated, with spatial coordinates of stars obtained by  $N$ -body simulations.

The kernel estimator was used previously for deriving surface radial density profiles of open-cluster corona models obtained by numerical  $N$ -body experiments, with  $N = 500$  (Danilov & Dorogavtseva 2008). It was found that the stars, leaving the cluster and forming the cluster corona, shape the surface density distribution close to equilibrium at distances from the cluster centre in the range from one to three cluster tidal radii (Danilov et al. 2014).

Spatial radial density profiles were derived in the present work with the use of equations (9) and (10) for the same  $N$ -body model outputs. The adaptive kernel algorithm was used, because the outer part of the cluster model corona has a very low density. The selection of the optimal kernel half-width was made following the recommendations of Merritt & Tremblay (1994). Fig. 5 shows the spatial density profiles of the open-cluster corona model 1 from Danilov & Dorogavtseva (2008) at the time-point of about 150 Myr (about three violent relaxation times of the model), obtained with different kernel half-widths (0.5, 1, 2, 3, 4 and 5 pc from top to bottom). The half-width mentioned everywhere in this section is the one used in the pilot estimate for the adaptive kernel method. The stochasticity of the plots in the central region of the cluster is caused by small values of factors  $\lambda$ , which control the kernel half-width in the adaptive kernel algorithm ( $\lambda < 1$  for  $r < 10$  pc). For this reason, factors  $\lambda$  were restricted in the present work by 1 from the lower side in the case of spatial density determination.

Fig. 6 shows the comparison of fixed and adaptive kernel estimates with the kernel half-width  $h = 1$  pc (in the case of the adaptive kernel estimator,  $h = 1$  pc refers to the pilot estimate). The adaptive kernel estimate was made with the restricted factors  $\lambda$ . The solid line in this figure shows the adaptive kernel estimate of the spatial density in the corona of model 1 from Danilov & Dorogavtseva (2008) at the time-point of about 150 Myr in units of  $\text{pc}^{-3}$ . The tidal



**Figure 6.** Comparison of the adaptive and fixed kernel estimates of spatial density of the open-cluster corona model. The solid line is the adaptive estimate, the dotted lines show the confidence interval of  $2\sigma$  width and the open circles show the fixed kernel estimate. The kernel half-width is 1 pc. In the case of the adaptive kernel estimator, it is the kernel half-width for the pilot estimate. The time-point is about 150 Myr.

radius of this model in the Galactic gravitational field is about 10 pc (see the formula for the tidal radius in Section 7). The dashed lines show the confidence interval of  $2\sigma$  width obtained by the smoothed bootstrap method (see Merritt & Tremblay 1994). This method is based on the Monte Carlo simulation of multiple secondary samples. Secondary samples are created, which are equal to the original one in size, and are distributed in accordance with the same density distribution as the original sample. Then, the density estimate for every secondary sample is obtained, using the same kernel estimator. In this work, 20 secondary samples were used; this gave density dispersion values for every  $r_i$  point. The fixed kernel estimate is shown by open circles. It is clear that the adaptive kernel estimate with  $h = 1$  pc follows the mean trend defined by the fixed kernel estimate with  $h = 1$  pc, and is relatively smooth. The adaptive kernel estimate with  $h = 2$  pc has the same characteristics, but is smoother in the central region. Adaptive estimates with  $h = 3, 4$  and  $5$  pc are biased in the outer part of the corona model. Thus, the kernel half-widths of 1 and 2 pc were selected for estimation of spatial density of the open-cluster corona model.

The evolution of the spatial density profile with time for the corona of cluster model 1 (Danilov & Dorogavtseva 2008) is shown

in the sequences of frames ‘spatial density 1.flv’ (the kernel half-width of 1 pc) and ‘spatial density 2.flv’ (the kernel half-width of 2 pc), which are accessible as supporting information in the online version of this paper. Each frame is arranged as in Fig. 6, but without the comparison with the fixed kernel estimate. Each sequence contains 60 frames, and the time interval is about 0.05 of the violent relaxation time of this model (Danilov & Dorogavtseva 2008); that is, about 2.5 Myr. The last frame in ‘spatial density 1.flv’ is the same as Fig. 6. It can be observed that an imaginary upper envelope line for the density profile is stretched to about three tidal radii of the model. This confirms the results of Danilov et al. (2014) on the formation of the quasi-equilibrium density distribution in the cluster corona models. It means that the density profile approaches, with time, the upper envelope line, which is just the quasi-equilibrium density distribution. This temporal equilibrium in the corona indicates a balance between the numbers of stars entering the corona from inner regions of the cluster and those escaping to the corona periphery or beyond it (Danilov et al. 2014).

#### 4 SURFACE DENSITY PROFILES FOR OPEN CLUSTERS

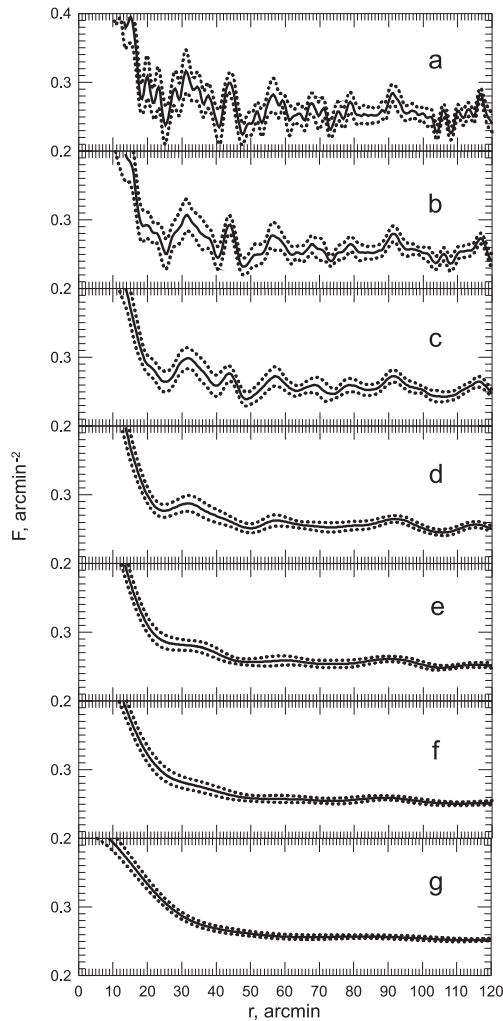
Surface density profiles for seven open clusters were obtained in this work for different limiting magnitudes,  $J_{\text{lim}}$ , with the data of the Two-Micron All-Sky Survey (2MASS; Skrutskie et al 2006). The sample clusters are listed in Table 1. This table shows the galactic coordinates of clusters, their colour excesses, distance modules, distances and ages taken from Loktin, Gerasimenko & Malysheva (2001), with the latest correction of the data (Loktin 2012, private communication). With the exception of NGC 1960, all sample clusters were selected at large galactic latitudes in order to have a more uniform and relatively low stellar background density. Two clusters are young, two clusters are intermediate-aged and three clusters are old. The cluster centre coordinates were taken from the WEBDA data base (Netopil, Paunzen & Stütz 2012); their accuracy was found to be sufficient for the large kernel half-width used in this work (usually 5 or 10 arcmin).

The case for real open clusters is very different from the case for open-cluster  $N$ -body models. Real clusters are observed at the rich stellar background, and the range of the estimates of the surface density values in this case is much smaller than the range of the estimates of the spatial (or surface) density for the models. For this reason, the factors  $\lambda$ , which adjust the kernel half-width in the adaptive kernel algorithm, also have a small range for the real clusters. Factors  $\lambda$  differ from unity noticeably only in the region of the cluster core. As a result, the adaptive and the fixed kernel estimates of the surface density differ only in the region of the cluster core and coincide completely in the region of the cluster halo and corona. The present work is aimed generally at the study

**Table 1.** Sample clusters.

Cluster name	$l$ (deg)	$b$ (deg)	$E(B - V)$ (mag)	Dist. mod. (mag)	Distance (pc)	Log age	$h$ (arcmin)	$R_f$ (arcmin)
NGC 1502	143.6	7.6	$0.76 \pm 0.01$	$9.60 \pm 0.14$	$830 \pm 50$	$7.04 \pm 0.05$	10	110
NGC 1960 (M36)	174.5	1.0	$0.23 \pm 0.04$	$10.59 \pm 0.10$	$1310 \pm 60$	$7.42 \pm 0.20$	5	60
NGC 2287 (M41)	231.1	$-10.2$	$0.03 \pm 0.01$	$9.21 \pm 0.10$	$700 \pm 30$	$8.39 \pm 0.07$	10	120
NGC 2516	273.9	$-15.9$	$0.10 \pm 0.01$	$8.10 \pm 0.11$	$420 \pm 20$	$8.10 \pm 0.04$	10	110
NGC 2682 (M67)	215.6	31.7	$0.06 \pm 0.01$	$9.79 \pm 0.05$	$910 \pm 20$	$9.41 \pm 0.02$	5	115
NGC 6819	74.0	8.5	$0.24 \pm 0.04$	$11.87 \pm 0.20$	$2360 \pm 200$	$9.17 \pm 0.07$	5	55
NGC 6939	95.9	12.3	$0.33 \pm 0.03$	$10.45 \pm 0.36$	$1230 \pm 200$	$9.35 \pm 0.05$	10	160

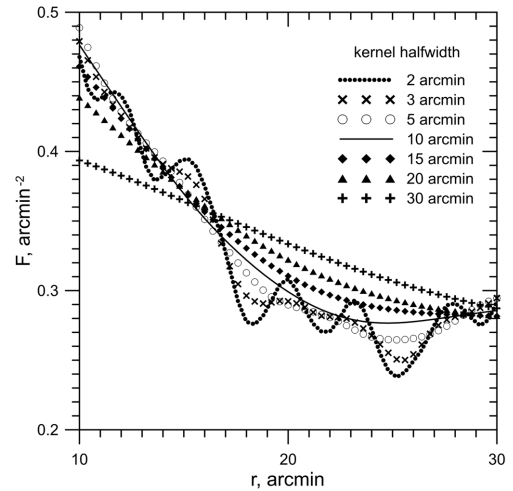




**Figure 7.** Surface density profiles of open cluster NGC 2287, obtained with different kernel half-width values for  $J_{\text{lim}} = 13$  mag: (a)  $h = 2$  arcmin; (b)  $h = 3$  arcmin; (c)  $h = 5$  arcmin; (d)  $h = 10$  arcmin; (e)  $h = 15$  arcmin; (f)  $h = 20$  arcmin; (g)  $h = 30$  arcmin. The ordinate is the surface density in the units of  $\text{arcmin}^{-2}$ , and the abscissa is the distance from the cluster centre in arcmin. The thick solid line shows the surface density kernel estimate and the dotted lines show the confidence interval of  $2\sigma$  width, obtained by a smoothed bootstrap method.

of the outer regions of the open clusters, and for this reason the fixed kernel algorithm is used in the present work to estimate the surface density of the open clusters.

Let us examine how the result of the surface density estimation depends on the kernel half-width  $h$ . Fig. 7 shows the radial surface density profiles for cluster NGC 2287 for  $J_{\text{lim}} = 13$  mag, obtained with different kernel half-widths. It is seen that when the kernel half-width decreases, the variation of the profile increases. Figs 7(a)–(c) vary too much. It is difficult to estimate the degree of bias, because at the background region ( $r > 60$  arcmin) all kernel half-widths give the same estimate of background density value. A comparison of the surface density estimates in the region where the density gradient is changing considerably (the outer part of the cluster core) is the best way to estimate the degree of bias in that case. Fig. 8 shows the surface density estimates for NGC 2287 obtained with different kernel half-widths in the distance range  $r \in [10, 30]$  arcmin. It is seen that the curve with  $h = 10$  arcmin is smooth, and follows well the mean trend defined by the curves computed with a much



**Figure 8.** Surface density profiles of open cluster NGC 2287, obtained with different kernel half-width values for  $J_{\text{lim}} = 13$  mag in the transition region between the cluster core and the cluster halo. The different symbols correspond to the different values of the kernel half-width.

smaller smoothing parameter. The curves with larger kernel half-widths deviate from this trend appreciably. Then, the best value of the kernel half-width in this case is 10 arcmin, in accordance with the recommendations of Merritt & Tremblay (1994).

The same procedure was applied to all sample clusters for all values of the limiting magnitude. One value of the kernel half-width was selected for every cluster, with the aim of comparing the surface density estimates derived with different limiting magnitudes. The last two columns of Table 1 show, respectively, the kernel half-width  $h$  values accepted for the construction of the surface density radial profiles of the sample clusters, and the radii  $R_f$  of the fields under consideration. (It is important to note that in order to estimate the surface density using the kernel estimator with the kernel half-width  $h$  inside the circle of radius  $R_f$ , the coordinates of stars inside the circle with radius  $R_f + h$  are needed.)

Tables 2–8 contain data on the surface density profiles obtained in this work; each table contains data for one cluster. The full versions of all these tables are accessible as supporting information in the online version of this paper. All tables are organized in a similar way, as follows. The first column contains the distance from the cluster centre in arcmin. Columns 2–5 contain data for the limiting magnitude  $J_{\text{lim}} = 11$  mag: column 2 is the kernel estimate of the surface density radial profile with the kernel half-width listed in Table 1; column 3 is the lower boundary of the confidence interval; column 4 is the upper boundary of the confidence interval; column 5 is the surface density histogram with the bin width of 4 arcmin. Histograms with the same bin width are tabulated for all clusters (a comparison of kernel estimates and histograms could be useful in some cases). Columns 6–9 contain the same data for the limiting magnitude  $J_{\text{lim}} = 12$  mag; columns 10–13 contain the same data for the limiting magnitude  $J_{\text{lim}} = 13$  mag; columns 14–17 contain the same data for limiting magnitude  $J_{\text{lim}} = 14$  mag; columns 18–21 contain the same data for limiting magnitude  $J_{\text{lim}} = 15$  mag; columns 22–25 contain the same data for limiting magnitude  $J_{\text{lim}} = 16$  mag. All surface density data are in units of  $\text{arcmin}^{-2}$ .

The surface density radial profiles for different limiting magnitudes are used in the present work to estimate the cluster masses, and to evaluate the segregation of the stars with the different masses (mass segregation).

**Table 2.** Data on surface density radial profiles for NGC 1502: the first nine columns and the first seven rows are shown (the full table is accessible in the online version of this paper).

$r$ (arcmin)	$J_{\text{lim}} = 11 \text{ mag}$				$J_{\text{lim}} = 12 \text{ mag}$				...
1	$F$	Confidence	Interval	Histogram	$F$	Confidence	Interval	Histogram	...
2	3	4	5	6	7	8	9	...	
0.000	0.259154	0.223405	0.294902	0.497359	0.504841	0.446151	0.563532	0.875352	...
0.200	0.258997	0.223273	0.294722	0.497359	0.504559	0.445912	0.563207	0.875352	...
0.400	0.258527	0.222873	0.294180	0.497359	0.503711	0.445191	0.562231	0.875352	...
0.600	0.257738	0.222203	0.293274	0.497359	0.502291	0.443980	0.560601	0.875352	...
0.800	0.256633	0.221264	0.292003	0.497359	0.500293	0.442272	0.558314	0.875352	...
1.000	0.255221	0.220064	0.290377	0.497359	0.497730	0.440076	0.555384	0.875352	...
1.200	0.253507	0.218610	0.288405	0.497359	0.494615	0.437403	0.551827	0.875352	...
...	...	...	...	...	...	...	...	...	...

**Table 3.** Data on surface density radial profiles for NGC 1960: the first nine columns and the first seven rows are shown (the full table is accessible in the online version of this paper).

$r$	$J_{\text{lim}} = 11 \text{ mag}$				$J_{\text{lim}} = 12 \text{ mag}$				...
(arcmin)	$F$	Confidence	Interval	Histogram	$F$	Confidence	Interval	Histogram	...
1	2	3	4	5	6	7	8	9	...
0.000	0.494103	0.408144	0.580063	0.716197	0.847316	0.699692	0.994941	1.114085	...
0.200	0.493111	0.407407	0.578815	0.716197	0.846140	0.698862	0.993417	1.114085	...
0.400	0.490031	0.405061	0.575002	0.716197	0.842446	0.696186	0.988706	1.114085	...
0.600	0.484854	0.401084	0.568624	0.716197	0.836177	0.691566	0.980787	1.114085	...
0.800	0.477629	0.395503	0.559755	0.716197	0.827532	0.685107	0.969957	1.114085	...
1.000	0.468473	0.388420	0.548526	0.716197	0.816571	0.676838	0.956305	1.114085	...
1.200	0.457641	0.380069	0.535213	0.716197	0.803530	0.666959	0.940100	1.114085	...
...	...	...	...	...	...	...	...	...	...

**Table 4.** Data on surface density radial profiles for NGC 2287: the first nine columns and the first seven rows are shown (the full table is accessible in the online version of this paper).

$r$ (arcmin)	$J_{\text{lim}} = 11 \text{ mag}$				$J_{\text{lim}} = 12 \text{ mag}$				...
	$F$	Confidence	Interval	Histogram	$F$	Confidence	Interval	Histogram	...
1	2	3	4	5	6	7	8	9	...
0.000	0.241070	0.202440	0.279701	0.298416	0.390958	0.352763	0.429154	0.437676	...
0.400	0.240801	0.202263	0.279340	0.298416	0.390638	0.352573	0.428702	0.437676	...
0.800	0.239993	0.201714	0.278272	0.298416	0.389702	0.352038	0.427367	0.437676	...
1.200	0.238641	0.200780	0.276503	0.298416	0.388154	0.351152	0.425156	0.437676	...
1.600	0.236728	0.199417	0.274038	0.298416	0.385998	0.349891	0.422104	0.437676	...
2.000	0.234303	0.197672	0.270933	0.298416	0.383300	0.348294	0.418306	0.437676	...
2.400	0.231431	0.195608	0.267254	0.298416	0.380169	0.346434	0.413905	0.437676	...
...	...	...	...	...	...	...	...	...	...

**Table 5.** Data on surface density radial profiles for NGC 2516: the first nine columns and the first seven rows are shown (the full table is accessible in the online version of this paper).

$r$	$J_{\text{lim}} = 11 \text{ mag}$				$J_{\text{lim}} = 12 \text{ mag}$				...
(arcmin)	$F$	Confidence	Interval	Histogram	$F$	Confidence	Interval	Histogram	...
1	2	3	4	5	6	7	8	9	...
0.000	0.349702	0.313795	0.385609	0.457570	0.519434	0.450923	0.587945	0.696303	...
0.200	0.349571	0.313690	0.385453	0.457570	0.519229	0.450760	0.587698	0.696303	...
0.400	0.349182	0.313378	0.384986	0.457570	0.518618	0.450277	0.586959	0.696303	...
0.600	0.348547	0.312871	0.384224	0.457570	0.517615	0.449490	0.585740	0.696303	...
0.800	0.347680	0.312178	0.383182	0.457570	0.516236	0.448419	0.584053	0.696303	...
1.000	0.346592	0.311314	0.381871	0.457570	0.514490	0.447072	0.581909	0.696303	...
1.200	0.345289	0.310278	0.380300	0.457570	0.512390	0.445455	0.579325	0.696303	...
...	...	...	...	...	...	...	...	...	...

**Table 6.** Data on surface density radial profiles for NGC 2682: the first nine columns and the first seven rows are shown (the full table is accessible in the online version of this paper).

$r$ (arcmin)	$J_{\text{lim}} = 11$ mag				$J_{\text{lim}} = 12$ mag				...
	$F$	Confidence	Interval	Histogram	$F$	Confidence	Interval	Histogram	...
1	2	3	4	5	6	7	8	9	...
0.000	0.335090	0.245409	0.424772	0.338204	0.948296	0.798863	1.097728	0.875352	...
0.200	0.334626	0.245181	0.424072	0.338204	0.947063	0.798132	1.095994	0.875352	...
0.400	0.333231	0.244464	0.421997	0.338204	0.943360	0.795906	1.090815	0.875352	...
0.600	0.330808	0.243141	0.418476	0.338204	0.936956	0.791902	1.082010	0.875352	...
0.800	0.327318	0.241154	0.413482	0.338204	0.927699	0.785880	1.069519	0.875352	...
1.000	0.322784	0.238516	0.407052	0.338204	0.915598	0.777725	1.053471	0.875352	...
1.200	0.317302	0.235290	0.399313	0.338204	0.900800	0.767483	1.034116	0.875352	...
...	...	...	...	...	...	...	...	...	...

**Table 7.** Data on surface density radial profiles for NGC 6819: the first nine columns and the first seven rows are shown (the full table is accessible in the online version of this paper).

$r$ (arcmin)	$J_{\text{lim}} = 11$ mag				$J_{\text{lim}} = 12$ mag				...
	$F$	Confidence	Interval	Histogram	$F$	Confidence	Interval	Histogram	...
1	2	3	4	5	6	7	8	9	...
0.000	0.859398	0.750552	0.968244	1.591549	1.263490	1.087194	1.439786	2.307747	...
0.200	0.857808	0.749343	0.966272	1.591549	1.261203	1.085338	1.437068	2.307747	...
0.400	0.852799	0.745457	0.960140	1.591549	1.254023	1.079488	1.428558	2.307747	...
0.600	0.844158	0.738621	0.949696	1.591549	1.241678	1.069404	1.413951	2.307747	...
0.800	0.831955	0.728846	0.935064	1.591549	1.224336	1.055251	1.393421	2.307747	...
1.000	0.816190	0.716070	0.916309	1.591549	1.202229	1.037226	1.367232	2.307747	...
1.200	0.796959	0.700322	0.893595	1.591549	1.175547	1.015433	1.335661	2.307747	...
...	...	...	...	...	...	...	...	...	...

**Table 8.** Data on surface density radial profiles for NGC 6939: the first nine columns and the first seven rows are shown (the full table is accessible in the online version of this paper).

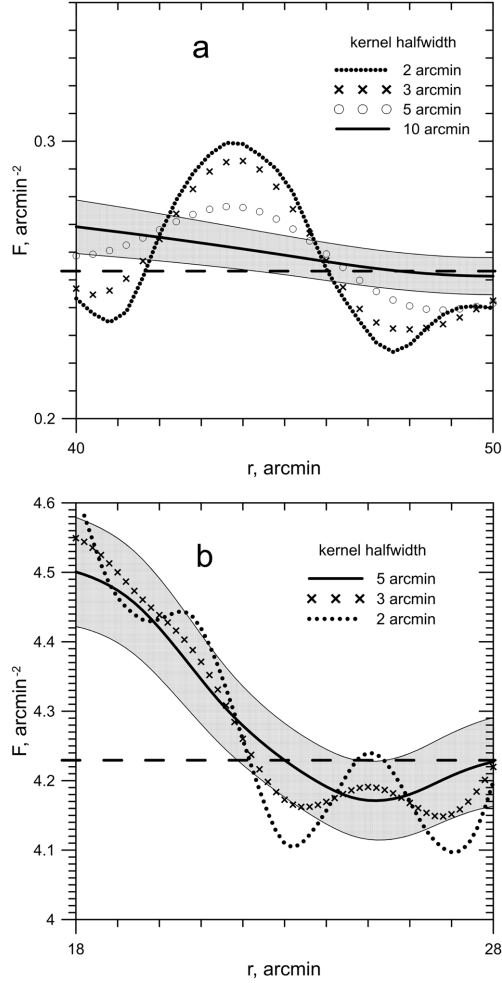
$r$ (arcmin)	$J_{\text{lim}} = 11$ mag				$J_{\text{lim}} = 12$ mag				...
	$F$	Confidence	Interval	Histogram	$F$	Confidence	Interval	Histogram	...
1	2	3	4	5	6	7	8	9	...
0.000	0.260066	0.232802	0.287330	0.477465	0.388181	0.354889	0.421473	0.676408	...
0.200	0.259935	0.232688	0.287183	0.477465	0.387999	0.354732	0.421266	0.676408	...
0.400	0.259540	0.232344	0.286736	0.477465	0.387453	0.354261	0.420645	0.676408	...
0.600	0.258877	0.231768	0.285987	0.477465	0.386540	0.353471	0.419609	0.676408	...
0.800	0.257952	0.230961	0.284943	0.477465	0.385269	0.352371	0.418167	0.676408	...
1.000	0.256769	0.229926	0.283612	0.477465	0.383641	0.350961	0.416321	0.676408	...
1.200	0.255331	0.228663	0.281998	0.477465	0.381656	0.349243	0.414069	0.676408	...
...	...	...	...	...	...	...	...	...	...

The nominal completeness limit of the 2MASS Point Source Catalogue is 15.8 mag (Skrutskie et al 2006), but in the magnitude range  $J \in [15.8, 16.0]$  mag this catalogue is 99 per cent complete for virtually all of the sky (Cutri et al. 2003). At the same time, the completeness limit is  $\sim 0.9$  mag fainter at high galactic latitude and  $\sim 0.4$  mag brighter in the galactic plane (Cutri et al. 2003). This means that the completeness limit varies depending on the overall stellar density, and the completeness in the last magnitude range ( $J_{\text{lim}} = 16$  mag) can be less than unity and different from one cluster to another.

It can be seen from the results of Merritt & Tremblay (1994) that both the kernel and maximum likelihood methods overestimate the surface density in the region of the outer boundary when large values of the smoothing parameter (the kernel half-width) are used for the restoration of the Plummer and Michie–King distributions. In that case, it is probable that a larger kernel half-width would lead to larger cluster dimensions.

The real open clusters do not show noticeable dependence of the cluster radius on the kernel half-width, when the kernel half-widths listed in Table 1 and smaller values are used. A possible explanation is that the open clusters are projected on a rich stellar background, as opposed to the Merritt & Tremblay (1994) models where the stellar background is not taken into account. This is illustrated in Fig. 9. Fig. 9(a) shows surface density profiles in the region around the cluster boundary for cluster NGC 2287 for  $J_{\text{lim}} = 13$  mag, for kernel half-width values of 2, 3, 5 and 10 arcmin. Fig. 9(b) shows surface density profiles in the region around the cluster boundary for cluster NGC 6819 for  $J_{\text{lim}} = 16$  mag, for kernel half-width values of 2, 3 and 5 arcmin. The cluster boundary (the value of the cluster radius) is determined by the intersection of the cluster surface density profile, obtained with the kernel half-width listed in Table 1 and marked in Fig. 9 by the thick solid lines, with the line of background density (the dashed line; see the explanation in Section 5). It is clearly noted that the intersection points of the other





**Figure 9.** Surface density profiles of the clusters in the region around the cluster boundary, obtained with the different kernel half-width values: (a) NGC 2287,  $J_{\text{lim}} = 13$  mag; (b) NGC 6819,  $J_{\text{lim}} = 16$  mag. Different symbols correspond to different values of the kernel half-width. The horizontal dashed line shows the visual estimate of background density (see explanation in Section 5). Grey bands show the  $2\sigma$  confidence intervals for profiles with (a)  $h = 10$  arcmin and (b)  $h = 5$  arcmin.

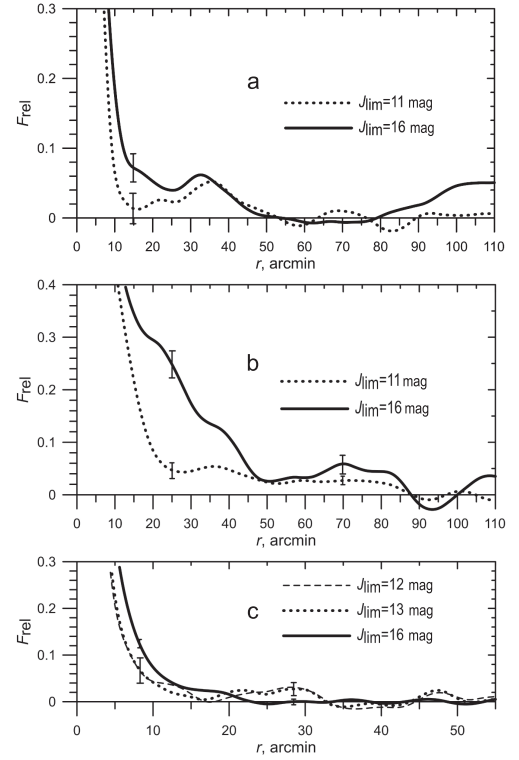
surface density profiles (obtained with the smaller kernel half-width values) with the background density line – near 46–47 arcmin in Fig. 9(a) and near 22–23 arcmin in Fig. 9(b) – are inside the bands of the confidence interval for profiles with the kernel half-width values from Table 1 (the larger values).

The density profiles obtained with different limiting magnitudes were compared in the present work in order to find signs of mass segregation in the sample clusters. As the surface density values differ greatly for different limiting magnitudes, relative densities were used, determined by

$$F_{\text{rel}}(r_i) = \frac{F(r_i) - F_b^{\text{vis}}}{F(0) - F_b^{\text{vis}}}, \quad (11)$$

where  $F_b^{\text{vis}}$  is the visual estimate of the surface density of the stellar background (see the explanation in Section 5) and  $F(0)$  is the surface density in the cluster centre.

A comparison of the relative density profiles for clusters NGC 1502, 2516 and 6819 is shown in Figs 10(a), (b) and (c), respectively. Two types of differences can be seen. The first is presented in all three clusters: the outer part of the cluster core (or ‘intermediate



**Figure 10.** Comparison of relative surface density profiles for different limiting magnitudes: (a) NGC 1502; (b) NGC 2516; (c) NGC 6819. Vertical bars show the width of the  $2\sigma$  confidence interval.

zone’) is relatively more populous in faint stars. The second type is seen in the case of NGC 2516, where the cluster halo is also more populous in faint stars. All sample clusters show differences of one type or the other. In all cases, the relative population of faint stars in the outer cluster regions exceeds the relative population of brighter stars, apart from NGC 6819, where the opposite can be seen (Fig. 10c).

The Kolmogorov–Smirnov (KS) test was performed in order to statistically compare the relative density profiles in Fig. 10 (Press et al. 1992). For the profiles from Fig. 10(a) and (b), the KS test gives  $p$ -values of  $3.8 \times 10^{-3}$  and  $3.4 \times 10^{-10}$ , respectively (i.e. these profiles are statistically different). For the profiles from Fig. 10(c), the KS test gives the following results. The profiles with  $J_{\text{lim}} = 12$  and 13 mag are not statistically different (the corresponding  $p$ -value is 0.9999). The profiles with  $J_{\text{lim}} = 12$  and 16 mag are statistically different (the corresponding  $p$ -value is  $4.1 \times 10^{-7}$ ). The profiles with  $J_{\text{lim}} = 13$  and 16 mag are also statistically different (the corresponding  $p$ -value is  $1.3 \times 10^{-6}$ ).

The mass of sample cluster stars for different magnitudes can be estimated. Transition to absolute magnitudes  $M_J$  was made with the data on cluster distances and colour excesses  $E(B - V)$  from the Loktin et al. (2001) catalogue and with the use of the following formulae:

$$E(J - H) = 0.37E(B - V); \quad (12)$$

$$A_J = 2.43E(J - H). \quad (13)$$

Here,  $E(J - H)$  is the colour excess in the  $(J - H)$  colour index and  $A_J$  is the total extinction in  $J$  colour. Equation (12) was taken from Bessell & Brett (1988), and equation (13) from Laney & Stobie (1993). Then, the masses of stars were estimated by their

**Table 9.** Stellar masses at the boundaries of magnitude intervals in the sample clusters ( $M_{\odot}$ ). Here,  $J_{\text{up}}$  is the magnitude of the upper end of the cluster sequence in the CMD (see explanation in the text).

Cluster name	$J_{\text{up}}$	$J = 11$ mag	$J = 12$ mag	$J = 13$ mag	$J = 14$ mag	$J = 15$ mag	$J = 16$ mag
NGC 1502	$17.31 \pm 0.29$	$3.35 \pm 0.23$	$1.91 \pm 0.33$	$1.43 \pm 0.03$	$1.15 \pm 0.05$	$0.74 \pm 0.06$	$0.40 \pm 0.05$
NGC 1960 (M36)	$11.15 \pm 0.38$	$4.29 \pm 0.22$	$2.72 \pm 0.14$	$1.53 \pm 0.02$	$1.32 \pm 0.03$	$0.97 \pm 0.05$	$0.59 \pm 0.05$
NGC 2287 (M41)	$4.09 \pm 0.00$	$1.95 \pm 0.08$	$1.37 \pm 0.04$	$1.07 \pm 0.03$	$0.83 \pm 0.03$	$0.65 \pm 0.02$	$0.49 \pm 0.02$
NGC 2516	$3.87 \pm 0.00$	$1.35 \pm 0.04$	$1.06 \pm 0.03$	$0.82 \pm 0.03$	$0.64 \pm 0.02$	$0.49 \pm 0.02$	$0.33 \pm 0.02$
NGC 2682 (M67)	$1.72 \pm 0.00$	$1.67 \pm 0.01$	$1.43 \pm 0.01$	$1.18 \pm 0.02$	$0.94 \pm 0.01$	$0.74 \pm 0.01$	$0.59 \pm 0.01$
NGC 6819	$1.72 \pm 0.00$	$1.71 \pm 0.00$	$1.71 \pm 0.00$	$1.70 \pm 0.02$	$1.50 \pm 0.06$	$1.24 \pm 0.05$	$1.00 \pm 0.05$
NGC 6939	$1.72 \pm 0.00$	$1.71 \pm 0.01$	$1.65 \pm 0.08$	$1.41 \pm 0.10$	$1.15 \pm 0.09$	$0.92 \pm 0.08$	$0.73 \pm 0.06$

absolute magnitudes  $M_I$  with isochrone tables downloaded from <http://stev.oapd.inaf.it/cmd> (Bressan et al. 2012) with  $Z_{\odot} = 0.019$ . The isochrone of  $\lg t = 7.0$  was used for clusters NGC 1502 and 1960; the isochrone of  $\lg t = 8.3$  was used for clusters NGC 2287 and 2516; the isochrone of  $\lg t = 9.3$  was used for clusters NGC 2682, 6819 and 6939. One isochrone is used for the young clusters, one isochrone for the intermediate-aged clusters and one isochrone for the old clusters. The reason for this is that only the mass–luminosity relation is important in the present work, and this relation changes only negligibly for isochrones with close age values. It is important that this method does not require the matching of the isochrone to the cluster colour–magnitude diagram (CMD).

The data on stellar masses corresponding to stellar magnitudes in the sample clusters are listed in Table 9, where  $J_{\text{up}}$  denotes the magnitude of the upper end of the cluster sequence in the CMD. In order to find this value, the CMDs  $[J, (J - H)]$  for sample clusters were plotted by the data of the 2MASS in the region of 10 arcmin around the cluster centre. The uncertainties in this table are a result of uncertainties in the cluster distance modules, and in the colour excesses for the clusters (see Table 1). Where the uncertainty interval was determined as asymmetric, the larger value is listed.

The differences in the relative density profiles with the different limiting magnitudes are present in all sample clusters. It is seen from Table 9 that, at least in the young and intermediate-age clusters, there is a large mass spectrum; so, we can explain the differences in the profiles there as the consequence of a mass segregation process. For NGC 6819, the outer part of the cluster core is more populated with faint stars, but the cluster halo is more populous with the brighter stars. However, the difference in the mass between cluster stars in that case is minimal, and this fact has yet to be interpreted.

The sample clusters show the presence of structural irregularities in their density profiles, such as secondary maxima or ‘footsteps’ (a ‘footstep’ is the same as a ‘plateau’). The only exception is NGC 1960. Examples are shown in Fig. 11. A typical ‘footstep’ is seen in NGC 2287 near  $r = 30$  arcmin, and a typical secondary maximum is seen in NGC 6939 near  $r = 60$  arcmin. Such structures can indicate cluster non-stationarity in the regular field, or stabilizing ejections of the cluster stars into the galactic field (see Danilov 1982, 2005, 2011). The non-stationary processes cause the corona to be not radially symmetric, and this, in turn, leads again to the structural irregularities in the radial density profiles.

## 5 SIZES OF OPEN CLUSTERS

The sizes of open clusters were estimated in the present work in two ways. The first was by a visual estimate, and it was not an objective method.

In the first step, the mean background surface density line was inferred by analysing the outer part of the field under considera-

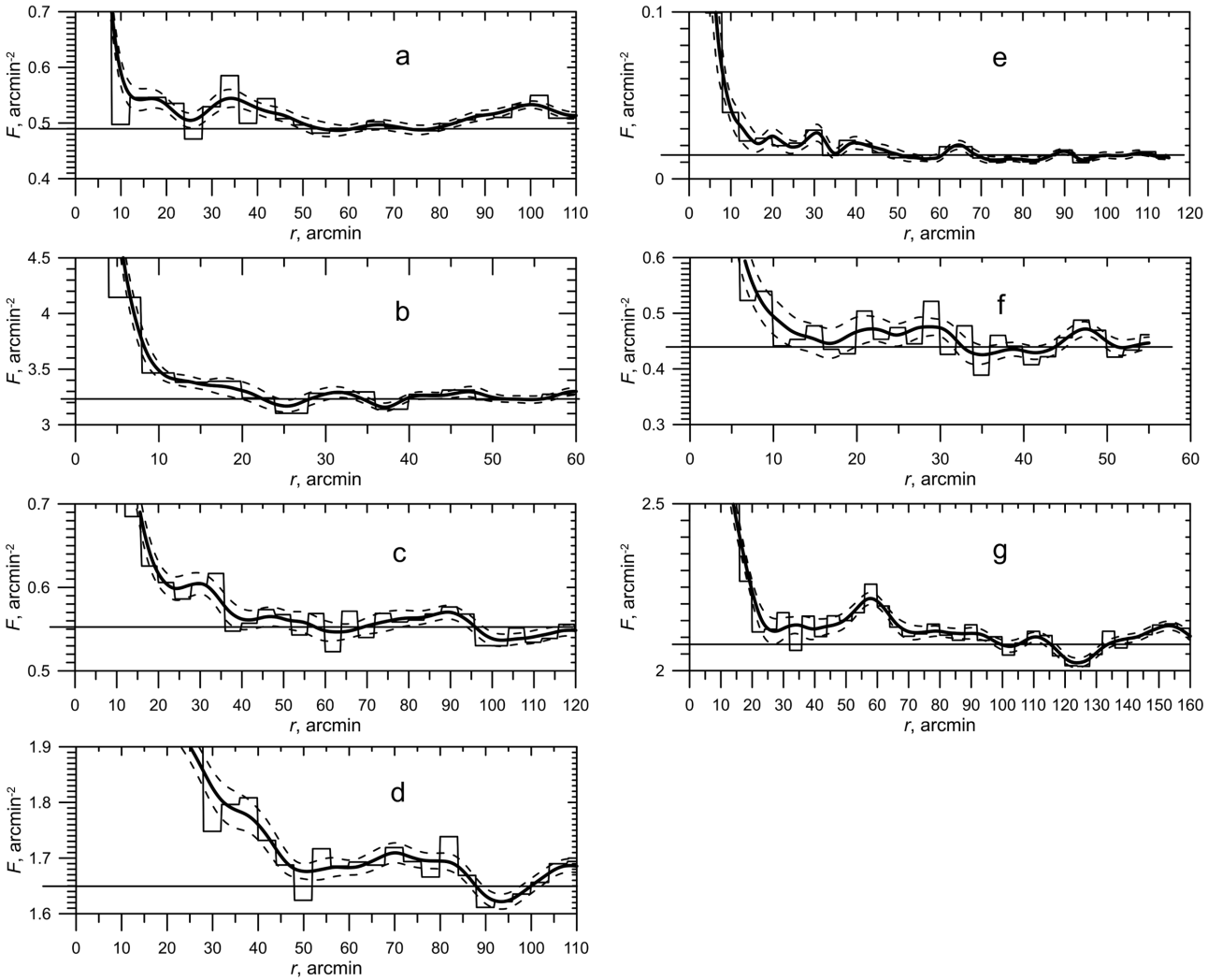
tion for every cluster, and for every limiting magnitude range. An approximately flat area in the outer part of the density profile was searched, and the background density line was drawn, taking into account an approximate equality of the square of areas between this line and the density profile above and below this line. In the second step, the cluster radius was estimated as the abscissa of the point of intersection of the density profile and the background density line. An error of this estimate was evaluated as the distance from the intersection point of the confidence interval line with the background density line to the cluster radius point (in many cases, the confidence interval intersects the background density line only at one side of the cluster radius point). An error of the background density estimate was evaluated as half of the confidence interval width at the cluster radius point.

These background density lines are shown in Figs 9 and 11. The visual estimates of the cluster radius  $R_c$  and the surface density of stellar background  $F_b^{\text{vis}}$ , and their uncertainties for every cluster and every limiting magnitude interval are listed in Table 11. The intervals of the cluster radius estimates for every cluster are listed in the second column of Table 10.

The second way is the approximation of the cluster surface density profile by the King surface density distribution (King 1962), and by the combination of the King distribution and the cluster corona component (see the description and the discussion in Section 6). It is important that the visual estimates of the mean background surface density and the estimates of the background density via approximation with the combined function are very close (see Table 11).

Table 10 shows the comparison of visual estimates of open-cluster radii, both with the data of other authors and with the results of cluster radii estimation by the DMP method when the function  $N(r)$  (i.e. the number of stars in the circle with radius  $r$ ) is used, and the cluster field is compared with several fields of neighbouring background fields (see the introduction). All data in Table 10 are in arcmin.

The second column of Table 10 contains the visual estimates of cluster radius by the surface density profile obtained as described above. The interval shows the scatter of the estimates for the different limiting magnitudes. The number in brackets is the radius of the field used for the density profile construction. The third column shows the cluster radius from the catalogue of Kharchenko et al. (2005). The fourth column shows the data on the sample clusters from the literature, and the fifth column contains the references for the sources of these data. The sixth column contains the cluster radius estimates from Danilov & Seleznev (1994). These estimates were obtained by the DMP method with star counts on photographic plates in the  $B$  colour band. The number in brackets shows the radius of the cluster field used for the star counts. The seventh column shows the cluster radius estimates obtained by the DMP method with the star counts on the data of 2MASS. The interval



**Figure 11.** Structural irregularities in the surface density profiles of open clusters: (a) NGC 1502,  $J_{\text{lim}} = 14$  mag; (b) NGC 1960,  $J_{\text{lim}} = 16$  mag; (c) NGC 2287,  $J_{\text{lim}} = 14$  mag; (d) NGC 2516,  $J_{\text{lim}} = 16$  mag; (e) NGC 2682,  $J_{\text{lim}} = 11$  mag; (f) NGC 6819,  $J_{\text{lim}} = 13$  mag; (g) NGC 6939,  $J_{\text{lim}} = 16$  mag. The solid polygonal lines show the histograms with the bin size of 4 arcmin. The thick solid lines show the surface density estimate and the dashed lines show a confidence interval of  $2\sigma$  width. The solid straight lines show the values of stellar density of the background (see explanation in Section 5).

**Table 10.** Comparison of cluster radii estimates with the data of other authors and with the results of cluster radii estimation by the DMP method (arcmin).

Cluster name	Cluster radius estimate by density profile	Kharchenko et al. (2005) catalogue	Data of other authors	Ref. <sup>a</sup>	Danilov & Seleznev (1994) DMP method with plates in <i>B</i>	Radii estimates by DMP method with 2MASS
NGC 1502	52–55 (110)	12.6	5	1	$24.8 \pm 2.5$ (31.08)	37 (45)
NGC 1960 (M36)	10–23 (60)	16.2	22.9	2	$20.1 \pm 0.6$ (31.08)	
NGC 2287 (M41)	37–57 (120)	30	30	3		46–50 (60)
NGC 2516	88–92 (110)	42	90	3		87 (95)
NGC 2682 (M67)	43–57 (115)	18.6	60	4,5		
NGC 6819	16–33 (55)		13	6	$24.8 \pm 2.6$ (31.08)	10–22 (40)
NGC 6939	42–105 (160)		85	7	$15.5 \pm 1.2$ (22.2)	21–26 (30)

Notes. <sup>a</sup>References are: (1) Alves et al. (2012); (2) Sanchez & Alfaro (2009); (3) Bergond, Leon & Guibert (2001); (4) Davenport & Sandquist (2010); (5) Balaguer-Núñez et al. (2013); (6) Yang et al. (2013); (7) Artyukhina & Kholopov (1965).

shows the scatter of estimates for different limiting magnitudes, and the number in a brackets shows the radius of the cluster field used for the star counts.

The radius estimates by the surface density profile for NGC 1502, 6819 and 6939 are larger than estimates by star counts with the DMP

method. This can be explained by a smaller size of the cluster field used for the DMP star counts. For NGC 1960, 2287 and 2516, the size of the field used for the star counts with the DMP method is larger than the cluster size, and a satisfactory matching by different methods was obtained.

**Table 11.** Approximation of the surface density profiles of the sample clusters: the first eight columns and the first seven rows are shown (the full table is accessible in the online version of this paper).

Cluster name	$J_{\text{lim}}$ (mag)	$R_c$ (arcmin)	$\sigma R_c$ (arcmin)	$F_b^{\text{vis}}$ (arcmin <sup>-2</sup> )	$\sigma F_b^{\text{vis}}$ (arcmin <sup>-2</sup> )	$N$	$\sigma N$	...
1	2	3	4	5	6	7	8	...
NGC 1502	11	53	8	0.05	0.0032	71	13	...
	12	52	3	0.115	0.0054	128	10	...
	13	52	2	0.245	0.009	244	22	...
	14	53	4	0.49	0.013	374	10	...
	15	52	4	1.02	0.017	518	9	...
	16	55	9	2.13	0.025	860	50	...
NGC 1960	11	10	2	0.072	0.0119	26	4	...
...	...	...	...	...	...	...	...	...

It can be seen from Table 10 that, for NGC 1502, 2287 and 6819, we have in the literature underestimated values of the cluster radius.

Artyukhina & Kholopov (1965) studied the structure of NGC 6939 with the proper-motion-selected cluster members. They found that this cluster has an extensive corona with the radius of about 85 arcmin. In the present work, the surface density profile for NGC 6939 was derived to a distance of 160 arcmin from the cluster centre, and a cluster radius estimate larger than in Artyukhina & Kholopov (1965) was obtained (see Fig. 11g). In this manner, the result of Artyukhina & Kholopov (1965) concerning an extensive corona of NGC 6939 can be confirmed. The cluster radius estimate, comparable with the result of proper-motion cluster membership analysis, was obtained for NGC 2682 (Balaguer-Núñez et al. 2013). Kharchenko et al. (2005) used proper-motion data for selecting possible cluster members, but they obtained smaller cluster radii than in the present work. This is possibly because of the smaller limiting magnitude in their study, and possibly as a result of using the King (1962) distribution for the cluster structure approximation (see discussion in Section 6).

Nilakshi, Pandey & Mohan (2002) performed star counts in the fields of 38 open clusters. They obtained an outer radius for NGC 1960 of 15.3 arcmin and an outer radius for NGC 6939 of 12.7 arcmin (these values of angular radii were calculated with their data on linear radii and distances). These radii are smaller than the ones obtained in the present paper. For NGC 6939, Nilakshi et al. (2002) could not see the cluster boundary near 100 arcmin, because they were limited by the field with a radius of 30 arcmin. Their result must be compared with the Danilov & Seleznev (1994) value (see column 6 of Table 10). For NGC 1960, the reason for the underestimation of the radius by Nilakshi et al. (2002) is possibly the lower sensitivity of star counts in the rings in comparison with the kernel estimator method. It is worth noting that the procedure of the outer boundary determination was not described by Nilakshi et al. (2002) in detail, and the density profiles (see fig. 1 in Nilakshi et al. 2002) allow an ambiguous estimation of the radii.

## 6 APPROXIMATION OF OPEN-CLUSTER SURFACE DENSITY PROFILES

The King (1962) function is very often used for approximation of the surface density or the surface brightness profiles of star clusters:

$$F(r) = \begin{cases} k \left[ \frac{1}{\sqrt{1 + (r/r_c)^2}} - \frac{1}{\sqrt{1 + (r_t/r_c)^2}} \right]^2 & r < r_t, \\ 0 & r \geq r_t. \end{cases} \quad (14)$$

This function was proposed by King for globular clusters but was also widely used for open clusters. In order to take into account stellar background, this formula is supplemented by stellar background density  $F_b$  as a constant addition.

Danilov & Putkov (2012) found that the approximation of stellar distribution in open star clusters by the King (1962) function tends to underestimate the number of stars in the cluster compared to the results of star counts. The reason for this is that the King (1962) function underestimates density values in the region of the cluster corona. Danilov & Putkov (2012) proposed an addition to the King formula. This addition represents the cluster corona as a uniform sphere. The addition into surface density is

$$\delta F(r) = 2 R_2 \delta f \sqrt{1 - \left( \frac{r}{R_2} \right)^2}, \quad (15)$$

where  $R_2$  is the radius of the cluster corona and  $\delta f$  is the spatial density of the cluster corona. This addition should be applied at all radii  $r < R_2$ .

An approximation of the surface density profiles of the sample clusters was performed in the present work, both using the King (1962) function alone (equation 14, referred to hereafter as the ‘King model’) and using the combined function (a combination of the King distribution for the cluster core equation 14 and of the uniform sphere equation 15 for the cluster corona, referred to hereafter as the ‘combined model’).

The results of the approximation are listed in Table 11, which is accessible in the online version of this paper. The columns of the table can be divided into three groups. The first group contains visual estimates of the cluster parameters, the second group contains the parameters of the combined model and the third group contains the parameters of the King model.

The columns of the first group are: (1) the cluster name; (2) the limiting magnitude in the  $J$  band; (3) visual estimate of the cluster radii  $R_c$  in arcmin; (4) its uncertainty; (5) visual estimate of the surface density of the stellar background  $F_b^{\text{vis}}$  in arcmin<sup>-2</sup>; (6) its uncertainty; (7) the estimate of the cluster star number  $N$ ; (8) its uncertainty. The estimate of the cluster star number was obtained through the numerical integration of the cluster surface density profile; the uncertainty of this estimate was obtained by integration of the upper and lower confidence interval curves, taking into account the uncertainty in the background density.

The parameters of the combined model were obtained by using the non-linear least-squares approximation algorithm by Marquardt (1963). The parameters of equation (14) for the combined model are supplied by the superscript ‘comb’, and for the King model by the superscript ‘King’. The columns of the second group are: (9)



$k^{\text{comb}}$  in  $\text{arcmin}^{-2}$ ; (10) its uncertainty; (11)  $r_c^{\text{comb}}$  in  $\text{arcmin}$ ; (12) its uncertainty; (13)  $r_t^{\text{comb}}$  in  $\text{arcmin}$ ; (14) its uncertainty; (15) the surface density of background  $F_b^{\text{comb}}$  in  $\text{arcmin}^{-2}$ ; (16) its uncertainty; (17)  $R_2$  in  $\text{arcmin}$ ; (18) its uncertainty; (19)  $\delta f$  in units of  $10^{-3} \text{ arcmin}^{-3}$  (this value denotes the number of stars in a cube with the side measured by one  $\text{arcmin}$  at the cluster distance); (20) its uncertainty. In the combined model,  $r_c^{\text{comb}}$  can be considered as the cluster core radius,  $r_c^{\text{comb}}$  has the meaning of the scale parameter for the cluster core and  $R_2$  is the cluster corona radius. From this perspective, situations when  $r_c^{\text{comb}} > r_t^{\text{comb}}$  are possible (see Table 11). The interpretation of such cases is in the different types of surface density profiles, namely, in the differences in the transition region between the cluster core and the cluster corona (or the halo). The cluster can have a so-called intermediate zone between the core and the corona (Kholopov 1969; Danilov & Seleznev 1994). The existence of the intermediate zone is normal in rich clusters (Kholopov 1969), and the sample clusters are rather rich. When the intermediate zone exists, the relation of  $r_c^{\text{comb}}$  and  $r_t^{\text{comb}}$  is usual. However, when the transition between the core and the corona is sharp, the scale parameter for the cluster core is larger than the radius of the core. Such cases occur only in the less populated clusters of the sample, NGC 1502 and 2287.

The following columns of the second group are: (21) the chi-square parameter describing the approximation quality (Marquardt 1963; Press et al. 1992); (22) the cluster star number  $N_{\text{mod}}$  for the combined model obtained by the analytical expression for the integral of equation (1) over the surface density of the combined model  $[F(r) + \delta F(r)]$  (see equations 14 and 15); (23) the star number of the cluster corona  $N_1$ ; (24) the star number of the cluster core  $N_2$ . The number of the cluster corona stars  $N_1$  was obtained by the analytical expression for integral equation (1) over the surface density of cluster corona equation (15). The number of the cluster core stars was obtained as  $N_2 = N_{\text{mod}} - N_1$ .

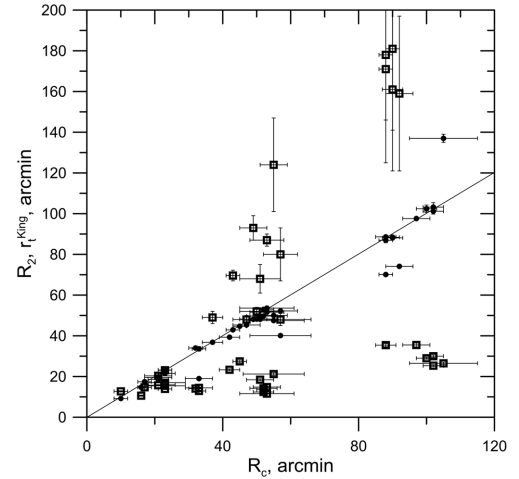
The third group of columns in Table 11 lists the parameters of the King model obtained for the sample clusters by the same algorithm (Marquardt 1963): (25)  $k^{\text{King}}$  in  $\text{arcmin}^{-2}$ ; (26) its uncertainty; (27)  $r_c^{\text{King}}$  in  $\text{arcmin}$ ; (28) its uncertainty; (29)  $r_t^{\text{King}}$  in  $\text{arcmin}$ ; (30) its uncertainty; (31)  $F_b^{\text{King}}$  in  $\text{arcmin}^{-2}$ ; (32) its uncertainty; (33) the chi-square parameter; (34) the cluster star number  $N_{\text{King}}$  for the King model obtained by the analytical expression for integral equation (1) over the surface density of the King model, equation (14).

The results of the approximation by two models are now compared. The parameter  $R_2$  in the combined model correlates closely with the visual estimate of the cluster radii  $R_c$ . In contrast,  $r_t$  in the King model does not correlate highly with  $R_c$ , as shown in Fig. 12.

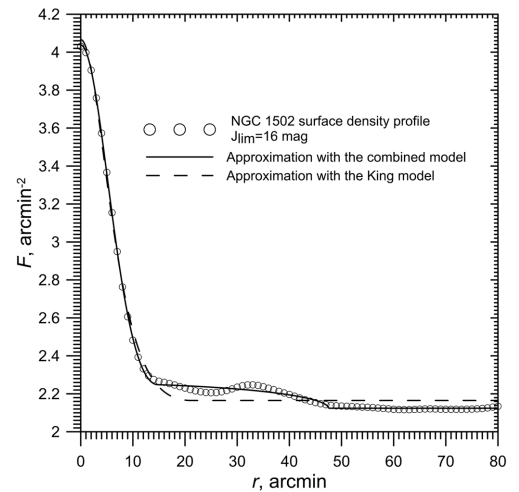
The stellar background density  $F_b^{\text{King}}$ , obtained in the limits of the King model, is usually larger than  $F_b^{\text{comb}}$  obtained in the limits of the combined model (the latter is usually very close to the visual estimate of this value). This is clear when the corresponding columns of Table 11 are compared.

We could compare the relative differences of the surface densities of background. The relative difference  $(F_b^{\text{vis}} - F_b^{\text{comb}})/F_b^{\text{vis}}$  is generally smaller than 1 per cent and no more than 4 per cent. The relative difference  $(F_b^{\text{comb}} - F_b^{\text{King}})/F_b^{\text{comb}}$  is generally several times larger in absolute magnitude, and usually negative.

The reason for this is that the King model does not have an extended corona, and the cluster corona (which is seen clearly in Figs 10 and 11) is perceived by the approximation algorithm as part of the stellar background. Fig. 13 shows the surface density profile for NGC 1502 ( $J_{\text{lim}} = 16 \text{ mag}$ ), and the fits of this profile by both the King model and the combined model. It can be seen that the fit by the King model gives values of the surface density



**Figure 12.** Comparison of the values  $R_2$  and  $r_t^{\text{King}}$  with the  $R_c$  values. The filled circles and open squares denote  $R_2$  and  $r_t^{\text{King}}$  values, respectively. The straight line shows equal values, for convenience.

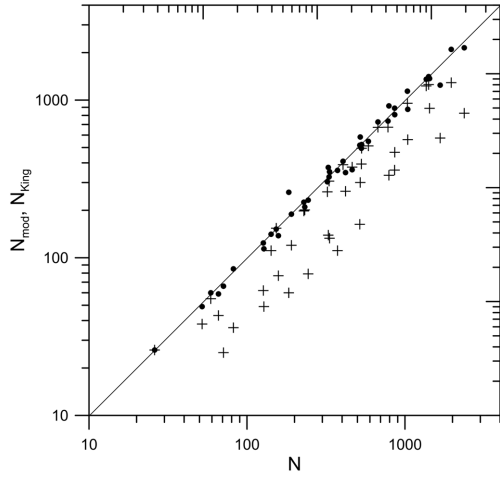


**Figure 13.** Approximation of surface density profile of NGC 1502 with  $J_{\text{lim}} = 16$  by the combined function and the King function.

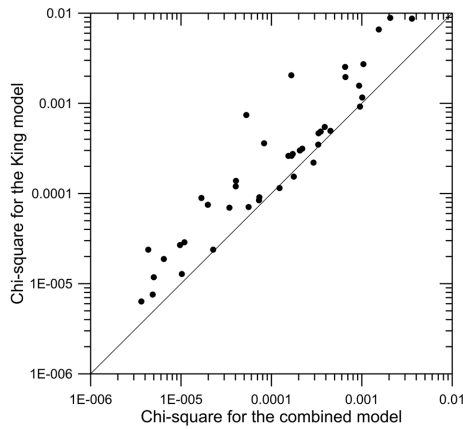
at distances from the cluster centre between 50 and 80  $\text{arcmin}$  (in the background region) that are larger than the profile values, in contrast to the fit by the combined model. As a result, integration of the density profile of the cluster King model gives a number of stars  $N_{\text{King}}$  much smaller than  $N$  or  $N_{\text{mod}}$ ; usually,  $N_{\text{King}}$  is close to the cluster core star number  $N_2$  in the combined model. In contrast, the values of  $N$  and  $N_{\text{mod}}$  are well correlated. This fact is illustrated in Fig. 14, where the cluster star numbers in the combined model and in the King model are compared against the cluster star number from the visual estimate of parameters.

Hence, it follows that the King model does not reproduce the surface density profiles of the sample clusters very well. This point is supported by the comparison of the chi-square parameters, describing the quality of approximation (Marquardt 1963; Press et al. 1992). Fig. 15 shows the chi-square parameters for the King model approximation against the chi-square parameters for the combined model approximation (the latter are systematically less). The cluster





**Figure 14.** Comparison of the values  $N_{\text{mod}}$  (the cluster star number in the combined model) and  $N_{\text{King}}$  (the cluster star number in the King model) against the values of  $N$  (the cluster star number from the visual estimate of parameters), shown for different limiting magnitudes for each sample cluster. The filled circles are  $N_{\text{mod}}$  values, and crosses are  $N_{\text{King}}$  values.



**Figure 15.** Comparison of the chi-square parameters for the King model approximation against the chi-square parameters for the combined model approximation.

cores are reproduced by the King function accurately, but the cluster coroneae are not. Taking into account the fact that the cluster coroneae often have structural irregularities (see Fig. 11), it is difficult to reproduce their density profiles by any analytical expression. From this point of view, the modelling of the cluster corona by a uniform sphere can be reasonable, and gives acceptable results.

## 7 CLUSTER MASS AND TIDAL RADII ESTIMATES

Having data on the cluster star numbers and on the stellar masses at the boundaries of magnitude intervals, it is possible to estimate the cluster masses. The following algorithm was used. First, the numbers of cluster stars for magnitude intervals of 1 mag width were calculated (and their uncertainties). Then, these numbers were multiplied by the mean stellar masses obtained from the data of Table 9, for every magnitude interval. The mass of the cluster stars from the upper magnitude interval was estimated with the assumption of the Kroupa mass spectrum (Kroupa 2001) in this interval (see later in this section). Finally, the cluster mass estimates were obtained as the sum of the masses for all magnitude intervals. The obtained cluster masses are the lower estimates, because the unknown low-mass end of stellar mass distribution, unresolved binaries and probable remnants of massive stars are not taken into account. These lower estimates of the sample cluster masses are listed in the second column of Table 12. For NGC 2287, the estimate of its mass was carried out only up to  $J_{\text{lim}} = 15$  mag, because in the case of NGC 2287 the cluster star number with  $J_{\text{lim}} = 16$  mag is smaller than the cluster star number with  $J_{\text{lim}} = 15$  mag (see Table 11). This fact can be explained by the large-scale fluctuations of the stellar background density. This could result in the wrong (higher) estimate of the surface density of the stellar background and, as a consequence, in the wrong (lower) estimate of the cluster star number in the case of  $J_{\text{lim}} = 16$  mag.

The total cluster mass, which was not covered by the method adopted here, can be estimated. NGC 1502 is taken as the only example. The following assumptions and approaches were used.

(i) The mass interval for stars included in star counts is [0.4, 17.3] solar masses. These values are taken from Table 9. The mass interval for low-mass (unseen) stars is [0.08, 0.4] solar masses. The initial mass interval of the massive stars, which have finished their evolution already, is [17.3, 60.0] solar masses.

(ii) The Kroupa initial mass spectrum (Kroupa 2001) is adopted for these mass intervals:

$$\phi(m) \sim \begin{cases} m^{-1.3 \pm 0.5} & \text{with } m \in [0.08, 0.5], \\ m^{-2.3 \pm 0.3} & \text{with } m > 0.5. \end{cases}$$

(iii) The number of stars in the mass interval of  $[m_1, m_2]$  is

$$N = \int_{m_1}^{m_2} \phi(m) dm,$$

and the mass of the stars in the same mass interval is

$$M = \int_{m_1}^{m_2} m\phi(m) dm.$$

**Table 12.** Lower estimates of the sample cluster masses and tidal radii.

Cluster name	Lower estimate of cluster mass $M$ ( $M_{\odot}$ )	Lower estimate of tidal radius $R_t$ (pc)	$R_{c \text{ max,}}$ (pc)	$R_{2 \text{ max,}}$ (pc)
NGC 1502	$1300 \pm 140$	$14.1 \pm 1.2$	$13.3 \pm 2.2$	$12.9 \pm 0.2$
NGC 1960 (M36)	$860 \pm 100$	$12.3 \pm 1.0$	$8.8 \pm 1.1$	$8.8 \pm 0.2$
NGC 2287 (M41)	$880 \pm 150$	$12.6 \pm 1.2$	$11.6 \pm 1.8$	$9.8 \pm 0.1$
NGC 2516	$1820 \pm 200$	$15.4 \pm 1.3$	$11.2 \pm 0.5$	$10.8 \pm 0.04$
NGC 2682 (M67)	$1400 \pm 110$	$15.1 \pm 1.2$	$15.1 \pm 1.3$	$13.8 \pm 0.2$
NGC 6819	$1890 \pm 140$	$16.7 \pm 1.3$	$22.7 \pm 2.7$	$23.3 \pm 0.7$
NGC 6939	$2610 \pm 420$	$18.3 \pm 1.7$	$37.6 \pm 3.6$	$49.0 \pm 0.7$

(iv) The normalization constant of the Kroupa initial mass spectrum is determined, because the number of cluster stars in the mass range of [0.4, 17.3] (taken from Table 9) is 860 (Table 11).

(v) The open cluster NGC 1502 is young (see Table 1), and the fraction of low-mass stars lost by the cluster due to relaxation is negligible (see, e.g. Ernst et al. 2015). For the intermediate-aged and old clusters, the star escapes should be considered, but the procedure of the total mass evaluation was applied to NGC 1502 only, as the example.

(vi) Stars with initial masses within the range of [17.3, 60.0] solar masses become neutron stars or black holes in the dependence of the concrete initial mass value (see Heger et al. 2003). The masses of the stellar remnants can be evaluated with the data from Heger et al. (2003).

(vii) The uncertainties of the estimates are evaluated by variation of the exponents of the mass spectrum within the ranges [−1.8, −0.8] and [−2.6, −2.0], and by taking into account the uncertainties of the stellar masses from Table 9, and the uncertainty of the cluster star number from Table 11.

(viii) The presence of unresolved binary stars can be taken into account following Khalaj & Baumgardt (2013) and supposing, for example, the same binary fraction as in the Praesepe cluster (0.35). In that case, the coefficient 1.35 should be applied to the mass estimate.

Applying these steps to NGC 1502 gives the estimate of NGC 1502's total mass between approximately 1760 and 3900 solar masses. The uncertainty of this estimate is very large. Moreover, the fraction of the unresolved binary stars can vary in the range 0.3–0.5 (Sollima et al. 2010). Because of the large uncertainty, this procedure was not applied to the sample clusters; it was preferable to use the lower-mass estimates listed in Table 12 for all sample clusters (including NGC 1502).

With these lower estimates of the sample cluster masses, the lower estimates of the cluster tidal radii in the Galactic gravitational field were calculated. The model of the Galactic gravitational potential  $\Phi$  was taken from Kutuzov & Osipkov (1980). The following formula was used for the tidal radii estimate (King 1962),

$$R_t = \left[ \frac{GM}{4A(A-B)} \right]^{1/3} = \left( -\frac{GM}{\alpha_1} \right)^{1/3}, \quad (16)$$

where  $G$  is the gravitational constant,  $G = 0.004535$  in the unit system 1 pc for distance, 1  $M_\odot$  (one solar mass) for mass and 1 Myr for time, as adopted in the present work,  $M$  is the cluster mass and  $A$  and  $B$  are the Oort constants for the cluster Galactocentric distance  $R_{cl}$ . Here,  $\alpha_1$  is the parameter describing the Galactic potential at the current Galactocentric distance of the cluster (introduced by Chandrasekhar 1942)

$$\alpha_1 = R \left( \frac{1}{R} \frac{\partial \Phi}{\partial R} - \frac{\partial^2 \Phi}{\partial R^2} \right) \Bigg|_{R=R_{cl}}, \quad (17)$$

where  $R$  is the distance from the Galactic Centre and  $R_{cl}$  is the cluster distance from the Galactic Centre:

$$R_{cl} = \sqrt{R_0^2 + d^2 \cos^2 b - 2R_0 d \cos l \cos b}. \quad (18)$$

Here,  $R_0$  is the solar distance from the Galactic Centre (the value of  $R_0 = 8200$  pc was taken here; see, for example, Nikiforov 2004; Hou & Han 2014),  $l$  and  $b$  are the galactic coordinates of the cluster and  $d$  is the cluster distance from the Sun. With the Kutuzov &

Osipkov (1980) model,

$$\alpha_1 = -2\Phi_0 \left( \frac{R_{cl}}{R_a^2} \right)^2 \frac{1+3e}{e^3(1+e)^3},$$

$$e = \sqrt{1 + \left( \frac{R_{cl}}{R_a} \right)^2}, \quad (19)$$

where  $R_a = 2000$  pc and  $\Phi_0 = 1.841 \times 10^5$  pc<sup>2</sup> Myr<sup>−2</sup>.

The Galactic potential model of Kutuzov & Osipkov (1980) was chosen based on the following considerations. In order to derive the open-cluster tidal radii, the model of Galactic potential is needed, which well describes the Galactic potential in the solar vicinity in the Galaxy, because all the sample clusters are close to the Sun ( $d < 2.36$  kpc). The compatibility of the Oort constants  $A$  and  $B$  derived from the model and modern data on  $A$  and  $B$  can be a criterion. Bobylev & Bajkova (2014) determined  $A = 16.49 \pm 0.60$  km s<sup>−1</sup> kpc<sup>−1</sup> and  $B = -12.37 \pm 1.12$  km s<sup>−1</sup> kpc<sup>−1</sup> with the study of high precision data on the 73 maser sources. These values give  $4A(A-B) \simeq 1900$  km<sup>2</sup> s<sup>−2</sup> kpc<sup>−2</sup>. The constants  $A$  and  $B$  derived from the Kutuzov & Osipkov (1980) model with  $R_0 = 8200$  pc are  $A = 17.08$  and  $B = -10.58$  km s<sup>−1</sup> kpc<sup>−1</sup>. These values give  $4A(A-B) \simeq 1890$  km<sup>2</sup> s<sup>−2</sup> kpc<sup>−2</sup>, which is very close to the value from Bobylev & Bajkova (2014).

The solar Galactocentric distance of  $R_0 = 8200$  pc is the reasonable value, compatible with the modern data; see the reviews in Nikiforov (2004) and Hou & Han (2014).

The modern models of the Galactic potential are aimed at the determination of the Galactic extended dark halo parameters (see, e.g. Bonaca et al. 2014). The perturbations are added to the potential, which are connected with the presence of the bar and the spiral arms; see the review in Pettitt et al (2014). However, the Galactic potential model of Kutuzov & Osipkov (1980) is relatively simple, and gives adequate values of the Oort constants in the solar vicinity, and it is sufficient for the present work.

The lower estimates of the sample cluster tidal radii are listed in the third column of Table 12. The uncertainty of this estimate was obtained by taking into account the uncertainty of the cluster mass estimate, the uncertainty of the cluster distance from the Sun and a 10 per cent uncertainty of the  $R_0$  value.

The fourth column of Table 12 contains a maximum visual estimate of the cluster radius for all magnitude intervals. The fifth column of Table 12 contains the maximum corona radius for all magnitude intervals, obtained by the cluster surface density profile approximation with the combined model. It is seen that NGC 6819 and 6939 extend well beyond their tidal surfaces. This fact is unlikely to be changed due to the unknown low-mass tail of stellar content in these clusters and to unresolved binaries, because equation (16) contains the cluster mass to the 1/3 power. Then, an increase of the cluster mass by two times will lead to a tidal radius increase by only a factor of 1.26. The large extension of these clusters can be explained by their non-stationarity: the rapid expansion of the cluster and the stabilizing ejections of the cluster stars into galactic field (see Danilov 1982, 2005, 2011).

The young and intermediate-age clusters can be subjected to the influence of additional gravitational action from the nearest gas-star complex with concomitant movement relative to the cluster (i.e. the gas-star complex where the cluster has been formed). This action leads to a decrease in the cluster tidal radius of a factor of 1.5–2.5 (Danilov 1990). Taking into account this possibility, it can be explained why young and intermediate-age clusters from our sample show the same evidence of non-stationary processes (see

Fig. 11) as old clusters NGC 6819 and 6939, which extend over their tidal surfaces.

## 8 CONCLUSIONS

The purpose of the present study was to show the efficiency of the kernel estimation of the surface and spatial density profiles of open star clusters and their  $N$ -body models, especially in the outer cluster region, and to demonstrate the necessity of taking into account the corona component of the open cluster when choosing the model for the approximation of the surface density profile.

The following general results were obtained in the present research.

(i) The formulae for kernel estimates of spatial density profiles of star clusters were obtained, for the cases when stellar spatial coordinates  $(x, y, z)$  are known. The spatial density profiles for the  $N$ -body models of open-cluster coroneae were derived as examples. The result of Danilov et al. (2014) was confirmed concerning the formation of quasi-equilibrium density distribution in the open-cluster coroneae up to distances of three tidal radii from the cluster centre.

(ii) Surface density profiles were derived for seven open clusters for different limiting magnitudes using the data of the 2MASS. The optimal kernel half-width value was selected following Merritt & Tremblay (1994), which was the value that gave the smoothest curve that closely followed the mean trend defined by curves computed with a much smaller kernel half-width. The surface density of the stellar background and cluster radii were estimated by the surface density profile. It was shown that the cluster radius estimate is hardly dependent on the kernel half-width value, when it is less than or equal to the optimal value. A comparison with other investigations shows that data on open-cluster sizes are often underestimated. The result of Artyukhina & Kholopov (1965) was confirmed concerning the presence of an extended corona in the open cluster NGC 6939.

(iii) The surface density profiles of the sample clusters show evidence of mass segregation and irregularities in the outer parts of clusters, which can be interpreted as evidence of non-stationary processes in the clusters.

(iv) The surface density profiles of the sample clusters were approximated by the King function and by the combined model (i.e. a combination of the King function for the cluster core and the uniform sphere for representation of the cluster corona). It is shown that the combined model describes the surface density profiles of the sample clusters much better than the King model alone. This is clearly seen especially when the cluster star numbers, obtained by integration of the surface density profiles from the kernel estimates and its models, are compared.

(v) The lower estimates of the sample cluster masses and tidal radii in the Galactic gravitational field were obtained. It is shown that open clusters NGC 6819 and 6939 extend beyond their tidal radii. This can be explained by their non-stationarity, by the rapid expansion of these clusters and by the stabilizing ejections of the cluster stars into the galactic field.

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## SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article:

Sequences of frames ‘spatial density 1.flv’ and ‘spatial density 2.flv’.

**Table 2.** Data on surface density radial profiles for NGC 1502.

**Table 3.** Data on surface density radial profiles for NGC 1960.

**Table 4.** Data on surface density radial profiles for NGC 2287.

**Table 5.** Data on surface density radial profiles for NGC 2516.

**Table 6.** Data on surface density radial profiles for NGC 2682.

**Table 7.** Data on surface density radial profiles for NGC 6819.

**Table 8.** Data on surface density radial profiles for NGC 6939.

**Table 11.** Approximation of the surface density profiles of the sample clusters (<http://www.mnras.oxfordjournals.org/lookup/suppl/doi:10.1093/mnras/stv2874/-/DC1>).

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